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(72) Inventors:  
 • White, Craig R.  
 Eagle, ID 83616 (US)  
 • Albright, Shivaun  
 Rocklin, CA 95677 (US)

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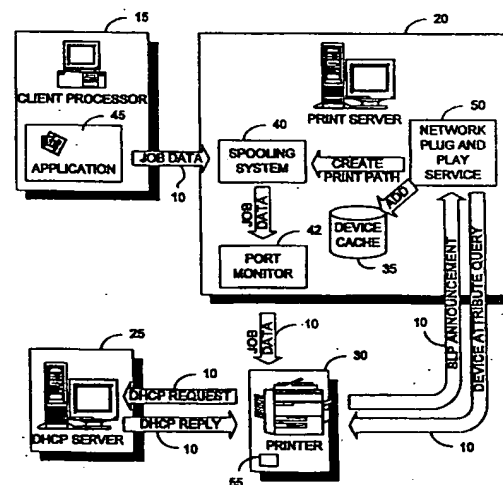
(74) Representative:  
 Schoppe, Fritz, Dipl.-Ing.  
 Schoppe, Zimmermann & Stöckeler  
 Patentanwälte  
 Postfach 71 08 67  
 81458 München (DE)

(71) Applicant:  
 Hewlett-Packard Company  
 Palo Alto, California 94304 (US)

(54) **Automatic configuration of a network printer**

(57) A method of configuring a peripheral device (30) on a network (10) without user intervention includes a server node (20) receiving identification data (130) for identifying the peripheral device on the network and, if the peripheral device is a new device (135) on the network or a driver for the peripheral device is not registered (145) on the server node, the server node self-installing the driver (160) for the peripheral device. As such, newly connected peripheral devices are automatically seen on a network and a driver for the peripheral device is automatically configured on a print server without any user intervention. Additionally, a communication port and queue for the device are also automatically created (175,185). Moreover, device configurations are dynamically and automatically updated (190,192,195) on the print server for consistent, accurate network information.

FIG. 1



## Description

### FIELD OF THE INVENTION

[0001] This invention relates in general to network communications and, more particularly, to enabling network peripheral devices such as printers to be automatically configured without user intervention upon connection to a network.

### BACKGROUND OF THE INVENTION

[0002] Enabling a user to employ a newly added printer over a network is often a complex task. At an overview level, initial set-up in current network environments generally requires the creation of print queues, printer objects and print servers. Then, such queues, objects and print servers must be properly linked and configured. Then, to send a print job to the network printer, the client processor usually directs the print job to a network queue on the print server, and then the print server processes the job from the queue and sends it to the printer.

[0003] More specifically, adding a printer to a network environment is, conventionally, no small task. It requires a series of installation and configuration steps that are generally performed either by a user or a network administrator or both in cooperation with each other. Namely, the printer requires specific configuration steps to be performed and parameters to be applied for successful operation on the network, as also does any computer (client processor) on the network that will be sending data to the printer. For example, typically, a vendor's configuration application program must be executed on a client computer to identify the new printer (device) to be used on the network. Once the new printer is identified from a list of devices presented by the vendor's configuration application, then the configuration application provides proper configuration parameters for that new device. The configuration parameters are very specific to the device being added and to the client computer and network over which communication occurs.

[0004] Additionally, a print server must be configured to enable use of the newly added printer on the network. Conventionally, the print server manages and monitors use of the printer relative to all client computers on the network, and all client computers access the printer via the print server. Accordingly, the print server must also be configured, in addition to the client computer, to enable use of the printer over the network. This includes, typically, a vendor's configuration application also being executed on the print server for that printer.

[0005] In addition to a vendor's configuration application being executed to install and configure the printer on the print server, the network operating system must also execute its configuration routines on the print server in order to configure and enable the printer for

th network via the print server. This often includes identifying appropriate printer files, registering the printer with the spooling system, establishing printer queues and printer ports, and defining client processor network share-access rights to the printer. Unfortunately, the vendor configuration application and the network operating system configuration routines are generally not integrated, and must also be accessed directly from the print server itself. Thus, a network administrator conventionally manually initiates this software configuration process for the newly added printer from the print server itself.

[0006] While such a conventional network printer configuration system and method works well, when properly configured, it is more complex and cumbersome than what most users care to engage in. In addition, if the installation or configuration doesn't work quite right, troubleshooting can be both difficult and time-consuming.

[0007] Accordingly, an object of the present invention is to enable a peripheral device, such as a printer, to be automatically configured without user intervention upon connection to a network.

### SUMMARY OF THE INVENTION

[0008] According to principles of the present invention in a preferred embodiment, a method of configuring a peripheral device on a network without user intervention includes the server node receiving identification data for identifying the peripheral device on the network and, if the peripheral device is a new device on the network or a driver for the peripheral device is not registered on the server node, the server node self-installing the driver for the peripheral device.

[0009] Thus, newly connected peripheral devices are automatically seen on a network and a driver for the peripheral device is automatically configured on a server node without any user intervention. Additionally, a communication port and queue for the device are also automatically created. Moreover, device configurations are dynamically and automatically updated on the server for consistent, accurate network information.

[0010] Other objects, advantages, and capabilities of the present invention will become more apparent as the description proceeds.

### DESCRIPTION OF THE DRAWINGS

[0011]

FIG. 1 is a block diagram of a communication network and attached devices and includes the present invention for automatically configuring a network printer.

FIGs. 2-3 are flow charts depicting a preferred method of the present invention.

# DETAILED DESCRIPTION OF THE INVENTION

[0012] FIG. 1 is a high level block diagram of a communication network 10 and attached devices including a client processor 15, print server 20, DHCP server 25, and network printer 30. The present invention is described in the context of communication network 10 being a conventional TCP/IP network. In the diagram, network 10 is illustrated as directional arrows to represent the TCP/IP communication links and data flow paths between each of the devices over the network in association with the present invention. The present invention is further described herein in the context of client processor 15, print server 20 and DHCP server 25 employing the Windows<sup>®</sup> or Windows NT<sup>®</sup> operating system produced by Microsoft Corporation. However, the present invention is also applicable to other networking environments and operating systems. Additionally, although a laser printer 30 is disclosed herein for purposes of discussion, it is understood that it is merely exemplary and that the present invention is equally applicable to other network peripheral devices, including ink jet printers, digital copiers, facsimile machines, etc. Moreover, each device 15, 20 and 25 includes a conventional network input/output (I/O) card for enabling communications with network 10. Printer 30 also includes a "conventional" network I/O card for general network communications purposes, but it is modified per the present invention as will be discussed hereafter.

[0013] Print server 20 includes device cache memory 35, spooling system 40, and port monitor 42 as conventional in the art. Client processor 15 includes application software 45 as conventional in the art for sending a print job to printer 30. DHCP server 25 is a Dynamic Host Configuration Protocol (DHCP) server that holds TCP/IP configuration parameters available for printer 30 and/or other devices to be configured on network 10. DHCP server 25 is shown and discussed as a device that is physically separate from print server 20 for clarity of discussion and illustration. However, DHCP server 25 and print server 20 may be a single device having the separate functionalities combined therein.

[0014] Overall, the present invention enables printer 30 to be automatically configured on network 10 for use by client processor 15 after it (the printer) is physically connected to the network by a user. Specifically, "network plug and play" software 50 depicts a key component of the present invention and resides in a memory on print server 20. A coexisting "network plug and play" module 55 resides (as firmware) on the network I/O card in printer 30. Thus, the network I/O card on printer 30 is modified from a conventional network I/O card in that it includes the "network plug and play" module 55 of the present invention. These software routines (50,55) execute and interact to enable the automatic configuration of printer 20 without any user intervention after the printer is connected to the network.

[0015] Referring now to FIGs. 2-3 (in conjunction with

FIG. 1), a flow chart describes the functionality and a preferred method of the present invention. First, 105 (FIG. 2), printer 30 is connected to the network 10 and turned on. Upon power up, printer 30 (module 55) issues a DHCP request packet 110 over network 10. DHCP server 25 responds with a DHCP reply packet 115 that contains the available TCP/IP configuration parameters for printer 30. Upon receiving those TCP/IP configuration parameters 120, printer 30 sets its memory configuration to reflect the parameters received. The printer now has its TCP/IP address.

[0016] Next, 125, module 55 of printer 30 sends a Service Location Protocol (SLP) reply packet using IP multicast over network 10. This SLP reply packet serves as an announcement that the printer is up and running on the network. The SLP reply packet contains information specific to printer 30, including the printer's hardware (network I/O card) address, TCP/IP address, printer model name, network I/O card name, and TCP/IP hostname.

[0017] When "network plug and play" service 50 receives the SLP packet data 130, it initiates a search of device cache 35 on print server 20 to determine whether printer 30 (as identified in the SLP reply packet data) is a new device on the network. If printer 30 is a new device 135 on the network (i.e., there is not an entry for printer 30 in cache 35), then it is added as a new entry 140 to cache 35. Additionally, and importantly, after adding printer 30 as a new entry to cache 35, "network plug and play" service 50 then installs the appropriate print driver for printer 35 onto printer server 20 based on the printer model provided in the SLP reply packet.

[0018] Automatically installing the appropriate print driver includes communicating 145 (FIG. 3) with spooling system 40 to see if the appropriate print driver is already registered therein. If it is not, the installation source (location) of the network operating system is determined 150 (i.e., from a saved location in the Windows and Windows NT operating systems) and then that installation source (i.e., local or remote disk drive) is accessed in an attempt to automatically obtain the print driver. If the original disk that was used to install the network operating system is available at the source location 155 (i.e., in the drive), then the appropriate print driver for printer 30 is accessed 160 and registered with spooling system 40. Thus, the print driver installation is completely automated by "network plug and play" software 50, 55 of the present invention.

[0019] Only if the original disk does not exist at the determined source location 150, 155 will a user be prompted for input 165 to identify the location of the installation disk. However, this prompting only occurs once because the "network plug and play" module subsequently stores 170 the user input source location. As such, upon a next attempt to install another printer, the newly identified installation location is automatically accessed for obtaining the appropriate print driver.

FIG. 1

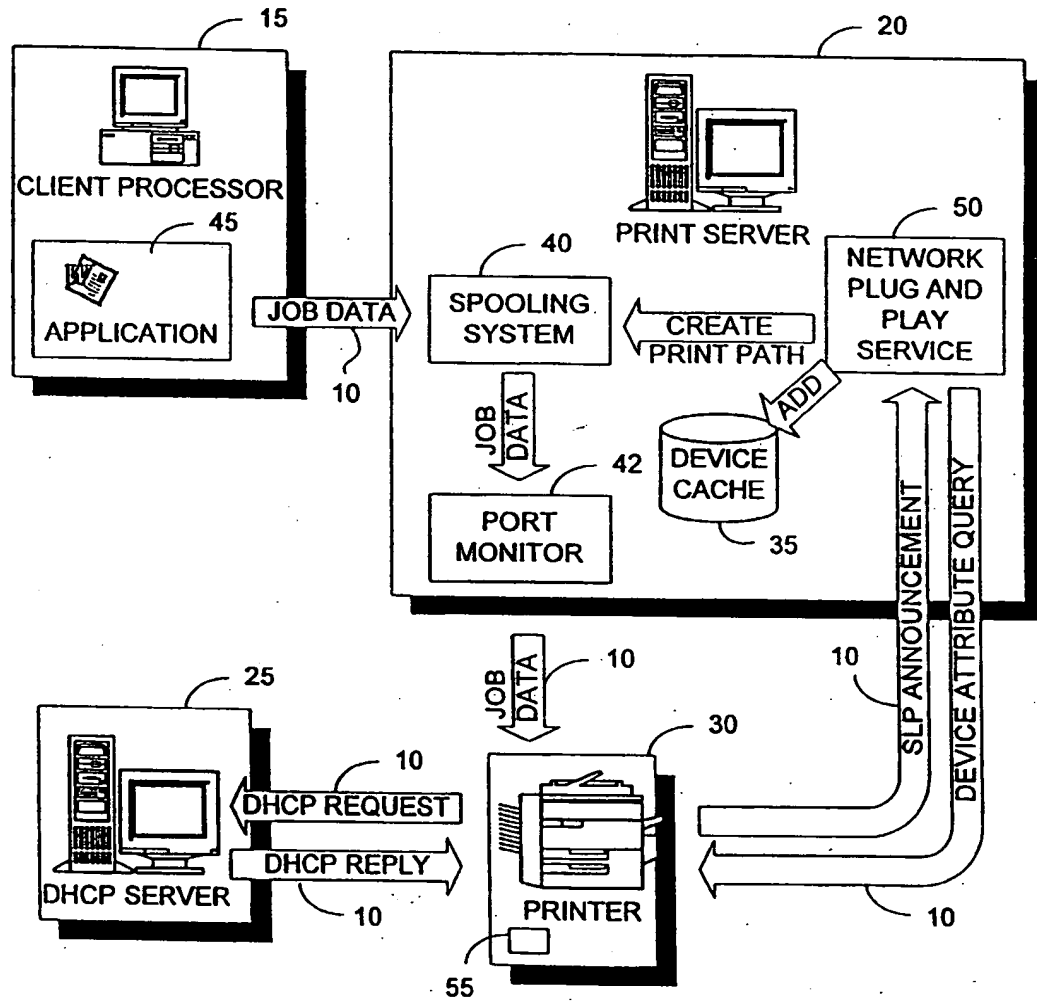


FIG. 2

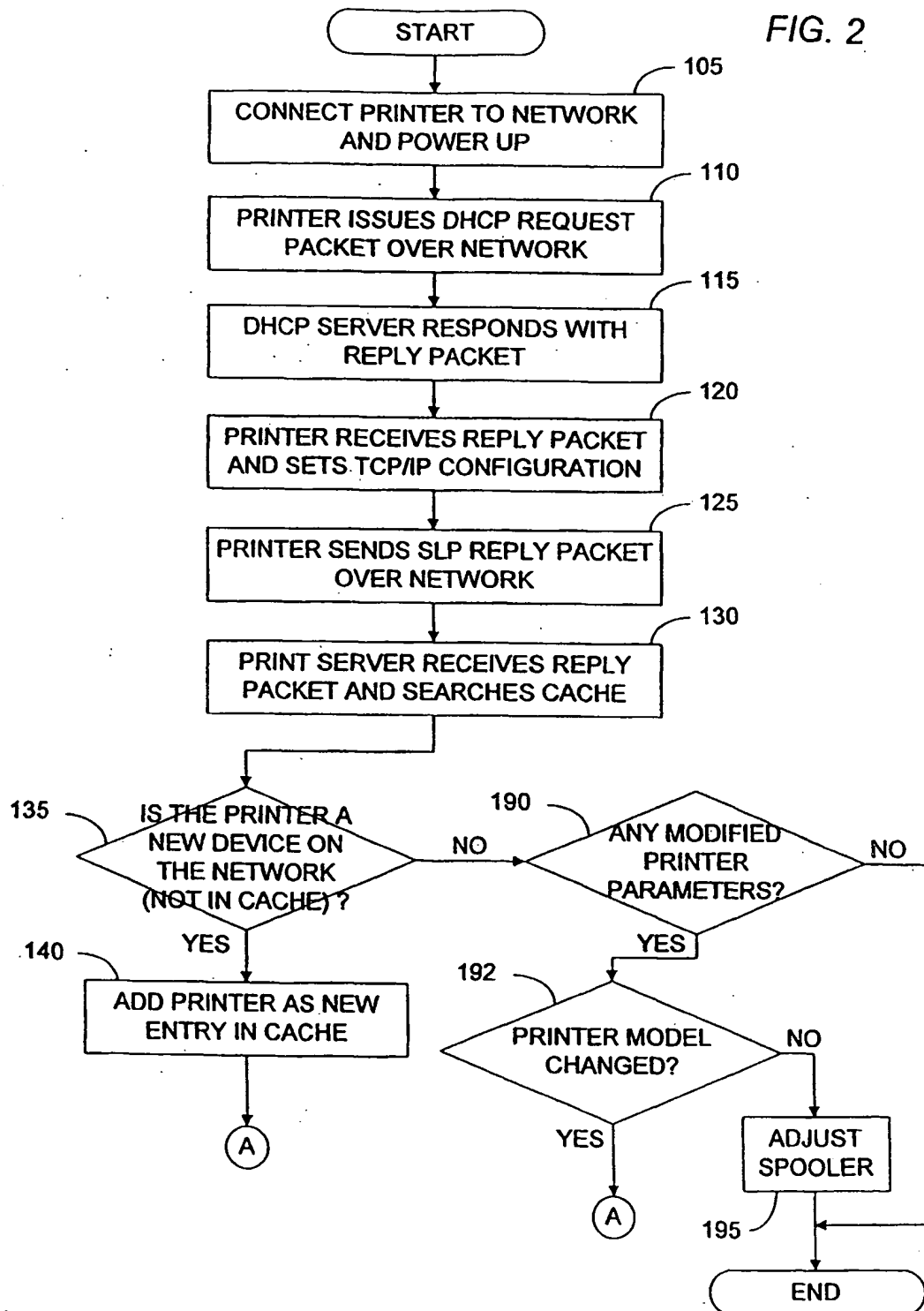
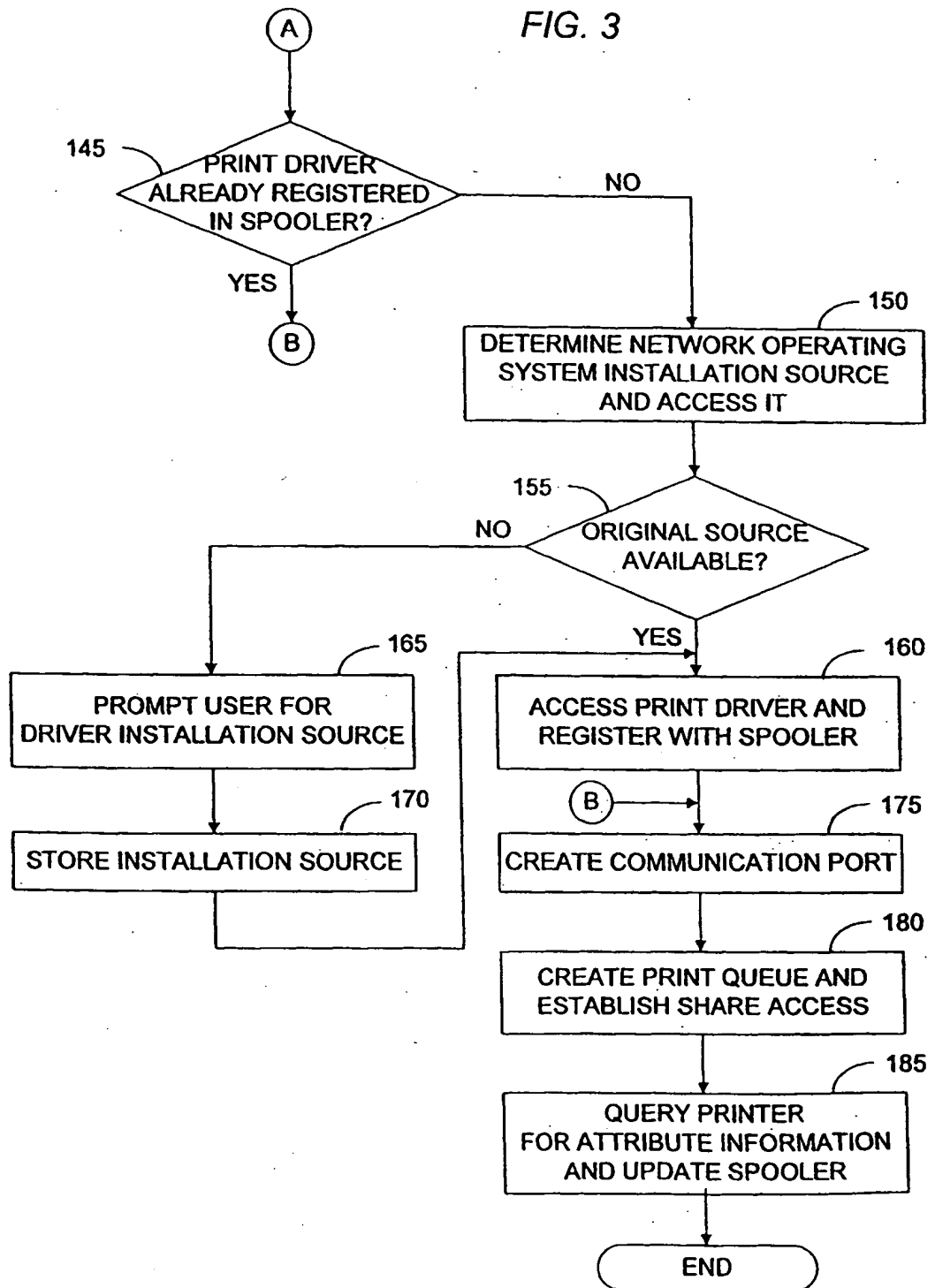


FIG. 3





European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 98 11 8576

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
X	US 5 655 148 A (RICHMAN DARRYL STEVEN ET AL) 5 August 1997 (1997-08-05) * figures 1-7 * * column 12, line 20 - column 34, line 41 *	1,3-6, 8-10	G06F3/12
A	US 5 564 109 A (SALES MILTON S ET AL) 8 October 1996 (1996-10-08) * figures 1,2 * * column 2, line 38 - column 3, line 64 *	1,2,5-7, 9,10	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			G06F G06K
The present search report has been drawn up for all claims			
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>6 August 1999</b>	Examiner <b>Weiss, P</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone  Y : particularly relevant if combined with another document of the same category  A : technological background  O : non-written disclosure  P : intermediate document</p> <p>T : theory or principle underlying the invention  E : earlier patent document, but published on, or after the filing date  D : document cited in the application  L : document cited for other reasons  &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1503 03/82 (P4/C01)



**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 98 11 8576

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.  
The members are as contained in the European Patent Office EDP file on  
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06-08-1999

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5655148 A	05-08-1997	US 5787246 A	28-07-1998
		US 5748980 A	05-05-1998
		US 5793979 A	11-08-1998
		US 5819107 A	06-10-1998
		US 5809329 A	15-09-1998
US 5564109 A	08-10-1996	NONE	

EPO FORM P449

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82



INVESTOR IN PEOPLE

Applicati n No: GB 0227234.2  
Claims searched: 1-8

Examiner: Mark Edwards  
Date of search: 11 July 2003

## Patents Act 1977 : Search Report under Section 17

### Documents considered to be relevant:

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
X	X=1-2	US 5870610 A (BEYDA) Columns 2 (lines 48-67) & 3 (lines 1-47).
X	X=1-2	EP 0952513 A1 (HP) Columns 3 & 4 (Paragraphs 14-18)

### Categories:

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

### Field of Search:

Search of GB, EP, WO & US patent documents classified in the following areas of the UKC<sup>v</sup>:

G4A

Worldwide search of patent documents classified in the following areas of the IPC<sup>7</sup>:

G06F

The following online and other databases have been used in the preparation of this search report:

WPI, PAJ and EPODOC